



FIDUCIARY BOARD

Arizona State Courts Building
1501 West Washington Street, Phoenix, Arizona 85007
Conference Room 109

March 13, 2025
10:00 a.m.

Approved Regular Meeting Minutes

Zoom Recording/Audio Video [Link](#)

MEMBERS ATTENDANCE:

Present:

Hon. Jennifer Perkins-Chair
Elaine Acosta Sweet-Vice
Chair

Telephonically Present:

Debrah Primock
Teresa Lancaster
Sharon Yates
Zora Manjencich
Hon. Brian Hauser
Diana M. Corry Left @
11:06
Jeanette S. Bloss
Vinay M. Singh
Tiffany A. Poarch

Absent:

OTHER ATTENDEES:

AOC Staff:

Aaron Nash
Pasquale Fontana
Lorraine Brown
Janelle Bauserman
Crystal Jones
Shaun Baland
Wil Castro
Emanuel Aronbayev
Jared Trebesch
Armita Oliver
Lindsey Bailey

Call to the Public

Susan Wulff, during agenda
item 2-D
David Redkey, during
agenda item 2-I

CALL TO ORDER

Called to Order By:

Hon. Jennifer Perkins, Chair

Time:

10:02 a.m.

1) REVIEW AND APPROVAL OF MINUTES

1-A: Review, discussion, and possible action regarding adopting the regular session recording and written minutes of the meeting held on January 9, 2025

Video Time: 00:01:39

Individual Addressing the Board:

Hon. Jennifer Perkins, Chair

Discussion: None

Motion: Accept the regular session recording and written minutes of the meeting held on January 9, 2025.

Motion Proposals: First Elaine Acosta Sweet
Second Zora Manjencich

Motion Results: Pass

2) PENDING COMPLAINTS

2-A: Update regarding pending complaints

Video Time: 00:02:14

Individual Addressing the Board:

Pasquale Fontana

Discussion: As of March 4, 2025, there are 58 open complaints.

Technical issues troubleshooting, recess at 10:05 a.m. and resumed at 10:25 a.m.

2-B: Review, discussion, and possible action regarding Complaint Nos. 22-0067 involving license holder Fiduciary Solutions, LLC.

Video Time: 00:14:10

Individual Addressing the Board:

Lorraine Brown

Discussion: It is recommended the Board accept the finding of the Probable Cause Evaluator and enter a finding Fiduciary Solutions, LLC has committed the alleged act(s) of misconduct regarding Allegation 1 but has not committed the alleged acts of misconduct regarding Allegations 2 and 3, as detailed in the Investigation Summary in complaint number 22-0067.

It is further recommended the Board dismiss Allegations 2 and 3 with prejudice.

It is further recommended the Board enter a finding grounds for informal disciplinary action exists and direct the Division to issue a Letter of Concern to Fiduciary Solutions, LLC.

Motion: Accept staff's recommendation.

Motion Proposals: First Elaine Acosta Sweet
Second Diana M. Corry

Motion Results: Pass

2-C: Review, discussion, and possible action regarding Complaint Nos. 22-0071 involving license holder Carol Thompson

Video Time: 00:17:07

Individual Addressing the Board: Lorraine Brown

Discussion: It is recommended the Board accept the finding of the Probable Cause Evaluator and enter a finding Carol Thompson has not committed the alleged act(s) of misconduct as detailed in the Investigation Summary in complaint number 22-0071 involving Allegations 1 and 3.

It is further recommended the Board dismiss Allegations 1 and 3 with prejudice.

It is further recommended the Board accept the finding of the Probable Cause Evaluator and enter a finding Carol Thompson has committed the alleged act(s) of misconduct involving Allegation 2, as detailed in the Investigation Summary in complaint number 22-0071.

It is further recommended the Fiduciary Board direct the Division to issue Carol Thompson a Letter of Concern.

Motion: Accept staff's recommendation to dismiss Allegations 1 and 3 with prejudice.

Motion Proposals: First Hon. Brian Hauser
Second Sharon Yates
Motion Results: Pass

Motion: Deny staff's recommendation to issue a Letter of Concern as it pertains to Allegation 2, move to dismiss with prejudice.

Motion Proposals: First Jeanette S. Bloss
Second Hon. Brian Hauser

Motion Results: Pass
Opposed Elaine Acosta Sweet

Notes: Carol Thompson addressed the board

2-D: Review, discussion, and possible action regarding Complaint Nos. 22-0084 through 22-0087, involving license holders East Valley Fiduciary Services, Inc., Michael Bogel, Andrew Stone, and Tanya Malos.

Video Time: 00:28:36

Individual Addressing the Board: Janelle Bauserman

Discussion: It is recommended that the Board accept the Probable Cause Evaluator's finding and enter a finding East Valley Fiduciary Services, Inc., Michael Bogle, Andrew Stone, and Tanya Malos have not committed the alleged acts of misconduct as detailed in the Investigation Summary in Complaint Numbers 22-0084, 22-0085, 22-0086, and 22-0087.

It is further recommended the Board dismiss Complaint Numbers 22-0084, 22-0085, 22-0086, and 22-0087, with prejudice.

Motion: Accept staff's recommendation.

Motion Proposals: First Elaine Acosta Sweet
Second Zora Manjencich

Motion Results: Pass

Note: Susan Wulff addressed the board

2-E: Review, discussion, and possible action regarding Complaint Nos. 24-0005 through 24-0007 involving license holders East Valley Fiduciary Services, Inc., Michael Bogle and Andrew Stone.

Video Time: 00:45:42

Individual Addressing the Board:

Janelle Bauserman

Discussion: It is recommended the Board accept the Probable Cause Evaluator and enter a finding East Valley Fiduciary Services, Inc., Michael Bogle, and Andrew Stone have not committed the alleged acts of misconduct as detailed in the Investigation Summary in Complaint Number 24-0005, 24-0006, and 24-0007.

It is further recommended the Board dismiss Complaint Numbers 24-0005, 24-0006, and 24-0007, with prejudice.

Motion: Accept staff's recommendation.

Motion Proposals: First Vinay M. Singh
Second Diana M. Corry

Motion Results: Pass

2-F: Review, discussion, and possible action regarding Complaint Nos. 24-0037 involving license holder Jennifer Kramer formerly known as Jennifer Stupski.

Video Time: 00:48:10

Individual Addressing the Board:

Janelle Bauserman

Discussion: It is recommended that the Board accept the Probable Cause Evaluator's finding and enter a finding that Jennifer Kramer has not committed the alleged acts of misconduct as detailed in the Investigation Summary in Complaint Number 24-0037.

It is further recommended the Board dismiss Complaint Number 24-0037, with prejudice.

Motion: Accept staff's recommendation.

Motion Proposals: First Elaine Acosta Sweet
Second Teresa Lancaster

Motion Results: Pass

2-G: Review, discussion, and possible action regarding Complaint Nos 23-0001 involving license holder Alex Harris.

Video Time: 00:50:06

Individual Addressing the Board:

Pasquale Fontana

Discussion: The Division recommends the Board accept the finding of the Probable Cause Evaluator and enter a finding Alex Harris has not committed the

alleged act(s) of misconduct as detailed in the Investigation Summary in Complaint Number 23-0001.

The Division further recommends the Board dismiss Complaint Number 23-0001, with prejudice.

Motion: Accept staff's recommendation.

Motion Proposals: First Teresa Lancaster
Second Sharon Yates

Motion Results: Pass

2-H: *Review, discussion, and possible action regarding Complaint Nos. 23-0025 and 23-0026 involving license holder Next Steps for Families, LLC and Leslie Mann-Damon.*

Video Time: 00:52:11

Individual Addressing the Board: Crystal Jones

Discussion: The Division recommends the Board accept the finding of the Probable Cause Evaluator and enter a finding that Next Steps for Families, LLC, and Leslie Mann-Damon have not committed the alleged act(s) of misconduct as detailed in the Investigation Summary in Complaint Numbers 23-0025 and 23-0026.

The Division further recommends the Board dismiss Complaints Numbers 23-0025 and 23-0026, with prejudice.

Motion: Accept staff's recommendation.

Motion Proposals: First Vinay M. Singh
Second Teresa Lancaster

Motion Results: Pass

2-I: *Review, discussion, and possible action regarding Complaint Nos. 23-0028 and 23-0029 involving license holders Stephanie McCollum and Taylors Galas.*

Video Time: 00:54:16

Individual Addressing the Board: Crystal Jones

Discussion: The Division recommends the Board accept the finding of the Probable Cause Evaluator and enter a finding that Stephanie McCollum and Taylor Galas have not committed the alleged act(s) of misconduct as detailed in the Investigation Summary in Complaint Numbers 23-0028 and 23-0029.

The Division further recommends the Board dismiss Complaints Numbers 23-0028 and 23-0029, with prejudice.

Motion: Accept staff's recommendation.

Motion Proposals: First Elaine Acosta Sweet
Second Jeanette S. Bloss

Motion Results: Pass

Note: David Redkey addressed the Board.

2-J: Review, discussion, and possible action regarding Complaint Nos. 23-0032 involving license holder Laurie Kuzdal.

Video Time: 01:02:54

Individual Addressing the Board: Shaun Baland

Discussion: The Division recommends the Board accept the finding of the Probable Cause Evaluator and enter a finding that Laurie Kuzdal has not committed the alleged act(s) of misconduct as detailed in the Investigation Summary in Complaint Number 23-0032.

The Division further recommends the Board dismiss Complaint Number 23-0032, with prejudice.

Motion: Accept staff's recommendation.

Motion Proposals: First Teresa Lancaster
Second Vinay M. Singh

Motion Results: Pass

2-K Review, discussion, and possible action regarding Complaint Nos. 25-0003 and 25-0004.

Video Time: 01:04:49

Individual Addressing the Board: Pasquale Fontana

Discussion: It is recommended the Board affirm the Division Director to dismiss with prejudice.

Motion: Accept staff's recommendation.

Motion Proposals: First Elaine Acosta Sweet
Second Zora Manjencich

Motion Results: Pass

2-L *Review, discussion and possible action regarding Complaint Nos. 23-0027, 23-0035, 23-0063, 24-0028, 24-0029 involving license holder Patricia Flores.*

Video Time: 01:11:59

Individual Addressing the Board: Aaron Nash

Discussion: It is recommended that the Board accept the voluntary surrender of licensure of Patricia Flores at 5:00 p.m. on March 14, 2025, per the terms of the Consent Agreement previously signed.

Motion: Accept staff's recommendation.

Motion Proposals: First Jeanette S. Bloss
Second Hon. Brian Hauser

Motion Results: Pass

Note: Patricia Flores' counsel, Samantha Stirling, addressed the Board.

3) PENDING COMPLIANCE AUDITS

3-A: *Update regarding pending compliance audits.*

Video Time: 01:40:06

Individual Addressing the Board: Pasquale Fontana

Discussion: As of March 4, 2025, there are 2 open compliance audits pending completion/final reports.

4) INITIAL LICENSURE AND ELIGIBILITY

4-A: *Review, discussion, and possible action regarding the following applications for initial individual and/or business licensure:*

- 1. Heather Bacon**
- 2. Rico Martinez**
- 3. Tammy Evans**
- 4. Jazmine Fickas**
- 5. Veronica Pena**

Video Time: 01:40:43

Individual Addressing the Board: Emanuel Aronbayev

Discussion: 1. Heather Bacon and 2. Rico Martinez. The Division recommends the Board grant initial fiduciary licensure to Heather Bacon and Rico Martinez.

Motion: Accept staff's recommendation.

Motion Proposals: First Elaine Acosta Sweet
Second Teresa Lancaster

Motion Results: Pass

Recusals: Vinay Singh on Rico Martinez

Video Time: 01:41:54

Individual Addressing the Board: Emanuel Aronbayev

Discussion: 3. Tammy Evans. The Division recommends the Board grant initial fiduciary licensure to Tammy Evans.

Motion: Accept staff's recommendation

Motion Proposals: First Elaine Acosta Sweet
Second Tiffany A. Poarch

Motion Results: Pass

Video Time: 01:42:53

Individual Addressing the Board: Emanuel Aronbayev

Discussion: 4. Jazmine Fickas. The Division recommends the Board grant initial fiduciary licensure to Jazmine Fickas.

Motion: Accept staff's recommendation.

Motion Proposals: First Elaine Acosta Sweet
Second Sharon Yates

Motion Results: Pass

Recusals: Vinay Singh

Video Time: 01:43:37

Individual Addressing the Board: Emanuel Aronbayev

Discussion: 5. Veronica Pena. The Division recommends the Board grant initial fiduciary licensure to Veronica Pena contingent on fingerprint results not yielding any negative history, with a later effective date once the fingerprint results have been confirmed with Division staff.

Motion: Accept staff's recommendation.

Motion Proposals: First Elaine Acosta Sweet
Second Teresa Lancaster

Motion Results: Pass

5) CERTIFICATION/LICENSURE AND ELIGIBILITY

5-A: Review, discussion, and possible action regarding request for examination received from Vivien Spencer.

Video Time: 01:45:20

Individual Addressing the Board: Wil Castro

Discussion: The Division recommends approving Vivien Spencer's petition for a fourth attempt of the fiduciary exam.

Motion: Accept staff's recommendation.

Motion Proposals: First Teresa Lancaster
Second Tiffany A. Poarch

Motion Results: Pass

Recusals: Vinay Singh

5-B: Review, discussion, and possible action regarding request for examination received from Jaime Reddy.

Video Time: 01:47:53

Individual Addressing the Board: Wil Castro

Discussion: The Division recommends approving Jamie Reddy's petition for a fourth attempt of the fiduciary exam.

Motion: Accept staff's recommendation.

Motion Proposals: First Teresa Lancaster
Second Elaine Acosta Sweet

Motion Results: Pass

Recusals: Vinay Singh

Note: Jamie Reddy addressed the board.

6) ADMINISTRATIVE ITEMS

6-A: Review, discussion, and possible action regarding Subcommittee on Additional Protection of Wards update (Informational)

Video Time: 01:49:37

Individual Addressing the Board: Wil Castro

Discussion: Wil Castro gave an update regarding discussions from the additional protection of wards workgroup. (Informational Only)

6-B: Division updates (Informational)

Video Time: 01:51:12

Individual Addressing the Board: Aaron Nash

Discussion:

- Welcome Jared Trebesch as Specialist for this Board.
- Processing of fiduciary initial licensure applications are nearly current.

CALL TO THE PUBLIC

Individual Addressing the Board: None

ADJOURNMENT

Motion: The chair adjourned the meeting at 12:06 p.m.

Initials: AO